Entry Requirements

Applicants should:

- have gained in the HKCEE Grade E in 3 subjects including Level 2 in Chinese Language and English Language,* OR
- have gained in the HKDSE Examination Level 2 in 5 subjects, OR
- be 21 years of age or above and have 3 years of work experience.

* With effect from 2007, HKU SPACE recognises Grade E previously awarded for Chinese Language and English Language (Syllabus B) (Grade C in the case of English Language (Syllabus A)) at HKCEE as an acceptable alternative to Level 2 in these two subjects at HKCEE.

Articulation to Higher Level Programme(s)

Graduates of the Diploma in Financial Planning, with IFPHK candidacy, are qualified to sit for the CFP® Certification Examination (Foundation Level), CFP® Certification Examination (Advanced Level Paper 1) and CFP® Certification Examination (Advanced Level Paper 2) offered by the Institute of Financial Planners of Hong Kong.

Enquiries

Email: cfp@hkuspace.hku.hk
Tel: 2867 8468

Every effort has been made to ensure the contents of this brochure are correct at the time of printing. HKU SPACE reserves the right to update the contents of the brochure at any time without notice and this brochure does not form part of contract between the students and the School. Information in the HKU SPACE website is the most up-to-date version and supersedes the printed brochure, wherever applicable.

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HKUSPACE
香港大學專業進修學院
HKU School of Professional and Continuing Education

Advanced Diploma in Financial Planning

FN005A

Financial Services and Insurance



ASIIN Accredited Institution 2022-2028

HKU SPACE was awarded the ASIIN Institutional Accreditation seal for its quality management in teaching and learning.

Inspiring Your Future
Business Education@HKUSPACE

About the Programme

This programme prepares students for the CFP® Certification Examination (Foundation Level) and CFP® Certification Examination (Advanced Level Paper 1 and Advanced Level Paper 2) organized by the Institute of Financial Planners of Hong Kong. Besides, degree holders can further their studies in finance postgraduate programmes **(https://hkuspace.hku.hk/collection/financial-postgraduate-programme-series).**

Objective

The programme aims to prepare students to qualify for the CFP® Certification Examination as well as articulate into a bachelor's degree in financial services. The CFP® Certification Education programme consisting of 5 modules has been incorporated into the programme. Students will gain a full understanding of the main areas of practice in Financial Planning and will be able to design and implement a financial plan for potential clients upon completing the programme. Students will also be exposed to various business subjects in the programme in order to broaden their knowledge and prepare them for further studies at the bachelor's degree level.



Programme Structure

The full programme now consists of 11 modules as follow:

CFP® Certification Examination (Foundation Level) – Module

Module 1: Fundamentals of Financial Planning (FFP) for AFP (FINA4149)

CFP® Certification Examination (Advanced Level Paper 1) – Modules

Module 2: Investment Planning and Asset Management (FINA4150)
Module 3: Insurance Planning and Risk Management (FINA4151)
Module 4: Tax Planning and Estate Planning (ACCT4064)

CFP® Certification Examination (Advanced Level Paper 2) – Module

Module 5: Advanced Financial Planning (FINA4152)

Business Modules

Module 6: Basic Accounting (ACCT4001)
Module 7: Business Economics (Economics) (FINA4154)
Module 8: Business Economics (Statistics) (FINA4155)
Module 9: Management Information Systems (IMAT4001)
Module 10: Business Management (Organisational Behaviour) (MGNT4065)
Module 11: Marketing of Financial Services (FINA4156)

Student who has complete all 11 modules in the programme (Modules 1 to 11) will be awarded the Advanced Diploma in Financial Planning.
Student who has complete all the first 5 modules in the programme (Modules 1 to 5) will be granted an exit award, the Diploma in Financial Planning.

Module exemption may be granted to those who have completed equivalent courses at recognised post-secondary institutions or professional examinations. For the Advanced Diploma, the maximum number of exemptions are 60 out of 120 credits.



Admission

There are various intakes per year. Please visit the programme website for more information.

Assessment Methods

All modules will be assessed by a mix of coursework, assignment, test, project/case study, presentation and/or examination.

Programme Fee

For the latest course fee, please refer to the programme website for more information. The payment is by module. (*$150 non-refundable for application fee; course fees are subject to change without prior notice).

Advanced Diploma in Financial Planning — CEF Modules 4

QF Level: 4 QR Registration No.: 14/003649/L4 Validity Period: 01 Jan 2015 - on-going

Diploma in Financial Planning — CEF Modules 4

QF Level: 4 QR Registration No.: 14/003650/L4 Validity Period: 01 Jan 2015 - on-going

CEF Modules — Some modules of this course have been included in the list of reimbursable courses under the Continuing Education Fund

4 — This course is recognised under the Qualification Framework (QF) level 4

Application

Applicants can apply online or fill out the enclosed application form and take it in person to one of the locations below. Applicants should include a non-refundable application fee of HK$150 to cover the cost of processing (Cheques should be crossed and make payable to “HKU SPACE”). Please also bring the original and photostat copies of your ID Card and relevant certificate and transcripts.